Request for Application

The New Jersey Alliance for Clinical Translational Science: NJ ACTS

Pilot Program Year 2

Funding Opportunity Purpose:

This RFA solicits pilot project applications from investigators in Rutgers University, Princeton University and New Jersey Institute of Technology.

Release Date: February 13, 2020

Application Deadline: April 3, 2020 — Extended deadline - May 1, 2020

Award Notification: June, 2020

Earliest Start Date: Dependent on NIH/NCATS approval
Overview of the NJ ACTS Pilot Programs

NJ ACTS, the New Jersey Alliance for Clinical and Translational Science, is committed to advancing clinical and translational science to develop new therapies and treatments and improve health and health care in New Jersey. NJ ACTS is supported in part by a Clinical and Translational Science Award (CTSA) grant, from the National Center for Advancing Translational Science (NCATS) of the National Institutes of Health (NIH).

One of the major objectives of NJ ACTS is to successfully translate biomedical discoveries to clinical applications by promoting creative and innovative science, and by providing pilot support for hypothesis generation and testing, and building teams to address questions in translational science. The NJ ACTS Pilot Program offers pilot grants to foster collaborative research among faculty in three institutions: Princeton University, New Jersey Institute of Technology (NJIT) and Rutgers University. We expect that the NJ ACTS Pilot Program will increase collaborative research and provide a path to sustainable, extramurally-funded independent research across the alliance.

NJ ACTS will commit a total of approximately $600,000 this year. The program is funded through the generous support of the NIH CTSA Award, the New Jersey Health Foundation, and each of the academic partners.

The Pilot Program supports team research to promote novel approaches and methodologies in translational medicine and science, to foster interdisciplinary research to generate or test novel hypotheses, create partnerships that leverage in-kind partner support, and to inspire new methodologies to evaluate and assess NJ ACTS/CTSA Hub processes to improve outcomes.

The funding will be used to support pilot programs in the areas outlined below. The number of awards per category is flexible and will depend on the quality of the applications submitted in each category. NJ ACTS will evaluate all applications and submit its recommendations to NCATS, which will make the final funding decision.

1. Translational and Clinical Sciences Awards

For projects along the T0-T4 continuum that focus on novel aspects of the heterogeneity of disease and response to therapy. Proposals embracing community engagement and diversity research are encouraged. The projects must demonstrate clinical relevance. (Partially supported by New Jersey Health Foundation).

Budget Request: up to $110,000  
Number of Awards: 3

2. Methodological and Infrastructure Awards

For projects that advance our ability to conduct translational research by developing novel methodologies or creating new infrastructure resources. Proposals embracing community engagement and diversity research are encouraged. The projects must demonstrate clinical relevance.

Budget Request: up to $40,000  
Number of Awards: 2
3. Propel Awards

For projects that allow investigators to explore new areas or augment studies in high risk/gain manner by supporting novel ideas, new technologies, new research methods, pilot clinical data acquisition, licenses to databases, and purchase of small equipment or data sets. Proposals embracing community engagement and diversity research are encouraged. The projects must demonstrate clinical relevance.

Budget Request: up to $20,000  
Number of Awards: 2

4. Valued Partnership Grants

For projects that support team research and build liaisons with key partners in industry, government, non-profits, other CTSAs and/or insurance companies. Must leverage NJ ACTS support with partner in-kind services/funding. In kind contribution can include specialized data analyses, access to equipment, imaging, genomic or epigenetic testing, proprietary database access, etc. Proposals must include a detailed description of the in-kind support, and a letter of support from the partner institution. (Partially supported by New Jersey Health Foundation).

Budget Request: up to $50,000  
Number of Awards: 2

5. Novel Approaches to Evaluation of and Benchmarks for CTSA Hubs

For projects that use state-of-the-art approaches to conduct systematic needs assessments, program evaluation, tracking studies and outreach analysis concerning translational research and science within a CTSA Hub. These projects will use an interdisciplinary team to establish benchmarks and metrics of success regarding specific CTSA outcomes. Provide comparative effectiveness assessments to inform CTSA Hubs of the most productive and cost-effective paths to conduct translational research in consortia. Partnerships with other CTSA Hubs are welcomed. A detailed description of deliverables related to the evaluation of CTSA processes are required.

Budget Request: up to $25,000  
Number of awards: 2

*HUBS – National network of medical research institutions that work together to improve the translational research process to get more treatment to more patients more quickly. Please contact NJACTS@rbhs.rutgers.edu for questions regarding HUBS.
This chart summarizes the partnership/collaboration requirements for the NJ ACTS Pilot Grant Program

<table>
<thead>
<tr>
<th>Grant Program</th>
<th>Maximum Budget Request</th>
<th>Estimated Number of Awards</th>
<th>Partnership Requirements</th>
<th>Partners</th>
</tr>
</thead>
<tbody>
<tr>
<td>Translational and Clinical Sciences Award</td>
<td>$110,000</td>
<td>3</td>
<td>Required</td>
<td>Two or more NJ ACTS Partners (Rutgers, NJIT or Princeton); Awardees will also become eligible for an additional $50,000 NJHF Innovation Grant at the end of the NJ ACTS award. These additional funds would be granted at the discretion of the Foundation after meeting with the awardees.</td>
</tr>
<tr>
<td>Methodological and Infrastructure Awards</td>
<td>$40,000</td>
<td>2</td>
<td>Required</td>
<td>Two or more NJ ACTS Partners (Rutgers, NJIT or Princeton)</td>
</tr>
<tr>
<td>Propel Awards</td>
<td>$20,000</td>
<td>2</td>
<td>Preferred</td>
<td>Two or more NJ ACTS Partners (Rutgers, NJIT or Princeton)</td>
</tr>
<tr>
<td>Valued Partnership Awards</td>
<td>$50,000</td>
<td>2</td>
<td>Required</td>
<td>Industry, government, community, non profits, insurance companies, other CTSA Hubs (Awardees will also become eligible for an additional $50,000 NJHF Innovation Grant at the end of the NJ ACTS award. These additional funds would be granted at the discretion of the Foundation after meeting with the awardees.)</td>
</tr>
<tr>
<td>Novel Approaches to Evaluation and Benchmarks of CTSA Hubs</td>
<td>$25,000</td>
<td>2</td>
<td>Preferred</td>
<td>Other CTSA Hubs</td>
</tr>
</tbody>
</table>
Application Process

Eligibilities:

- Co-Principal Investigators must hold faculty appointments at one of the three NJ ACTS partner institutions: Rutgers, Princeton or NJIT. The Translational and Clinical Science Awards, Methodological and Infrastructure Awards and Propel Awards must have at least 2 Co-PIs, representing different NJ ACTS institutions. Co-PIs for the Valued Partner or Benchmark/Evaluation grants may be at the partner institution (Other CTSA Hub, industry, etc.).
- Please note: Schools within an institution are not considered as separate from the parent institution. For example, a proposal with one Co-PI from New Jersey Medical School and one from the School of Engineering at Rutgers would be considered ineligible as both schools are units of Rutgers.
- Faculty members at all ranks are eligible. Junior faculty members are especially encouraged to apply.
- Co-investigators participating in the proposal need not be faculty.
- Clinical studies beyond Phase IIB are not allowed.
- Applicants may submit applications for different pilot grant categories provided that each project is different. Applicants may not submit identical projects to multiple pilot funding categories.

Application Submission:

All application materials must be submitted by the deadline to: NJACTS@rbhs.rutgers.edu.

Subject line should be:

[Co-PI Last Name_Co-PI Last Name_Pilot Program]

Example: Highlander_Tiger_Propel

Institutional Review Requirement:

NJIT

For any NJIT participants for pilot project proposals they must go through the normal proposal preparation and submission protocols, including adhering to the NJIT proposal timeline and guidelines requirements by working with their assigned College director and using Streamlyne for internal documentation and approvals for budget and compliance checks.

Princeton

Princeton does not require internal review by the Office of Research and Project Administration (ORPA) as this is an internal award. Submissions should be coordinated through your Departmental grants staff.

Rutgers

This is an internal application and does not require review by Rutgers Office of Research and Sponsored Programs (ORSP).

The application may require approval of the Dean/Chair of the Co-PIs based on school/departmental policy or if the project involves in-kind support.
**Application Requirements:**

The Application Form should be in one pdf, and all other materials should be in a single pdf in the order stipulated below and in the Application Form.

To be considered complete, a proposal must contain the following elements in this order:

<table>
<thead>
<tr>
<th>Element</th>
<th>Page Limit</th>
<th>Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>NJ ACTS Pilot Application Form</td>
<td></td>
<td>Fillable PDF</td>
</tr>
<tr>
<td>• Include the names and contact information for four potential reviewers. Two may be from within the institution(s) and two from outside.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program Specific Form, if applicable</td>
<td></td>
<td>Fillable PDF</td>
</tr>
</tbody>
</table>

**Additional elements to be submitted as a single PDF in this order:**

<table>
<thead>
<tr>
<th>Element</th>
<th>Page Limit</th>
<th>Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Strategy</td>
<td>6 pages</td>
<td></td>
</tr>
<tr>
<td>• Project Abstract</td>
<td>Up to ½ page</td>
<td></td>
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<tr>
<td>• Specific Aims</td>
<td>Up to ½ page</td>
<td></td>
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<tr>
<td>• Background/Preliminary Data</td>
<td>Up to 2 pages</td>
<td></td>
</tr>
<tr>
<td>• Research Plans</td>
<td>Up to 3 pages</td>
<td></td>
</tr>
<tr>
<td>How will Pilot Program funding lead to independent or sustainable funding?</td>
<td>Up to 1 page</td>
<td></td>
</tr>
<tr>
<td>Project Timeline by month</td>
<td>Up to 1 page</td>
<td></td>
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<tr>
<td>Selected references</td>
<td>As needed</td>
<td></td>
</tr>
<tr>
<td>Resources and Environment</td>
<td>Up to 1 page</td>
<td></td>
</tr>
<tr>
<td>Other Support</td>
<td>As needed</td>
<td></td>
</tr>
<tr>
<td>Detailed Budget (NIH PHS 398) 1 for each participating institution</td>
<td>As needed for each PI/Institution</td>
<td></td>
</tr>
<tr>
<td>Budget Justification (1 for each participating institution)</td>
<td>As needed</td>
<td></td>
</tr>
<tr>
<td>Co-PI’s NIH-formatted biosketch</td>
<td>Up to 5 pages</td>
<td></td>
</tr>
<tr>
<td>Key personnel NIH formatted biosketchs</td>
<td>Up to 5 pages each</td>
<td></td>
</tr>
<tr>
<td>Letters of support from affiliates, partners, or others</td>
<td>Up to 1 page each</td>
<td></td>
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</tbody>
</table>

Candidates MUST use the application and budget templates.

Font and Margin Requirements: 0.5” margins and Arial 11 Font.

**Budget Guidelines:**

- Project period is one year.
- Each pilot program has individual maximum allowable expenditures.
- PI effort: Effort levels for each Co-PI must be specified.
- Applicants may not request salary support for themselves or Co-PIs; salary support is allowable for staff, postdocs, and students.
- Name, title/role, percent effort, salary and benefits for each participant must be provided.
- Supplies and other costs should be itemized in detail by type and number in the budget and budget justification.
• Equipment requests and service contracts must be detailed in the budget and budget justification; quotes must be attached.
• As these projects require involvement of at least two NJ ACTS institutions, complete a budget for each institution and a cumulative budget page. Budgets should clearly show what project costs will be expended at each institution.
• Facilities and Administration costs are not permissible.
• In-Kind support is permitted and requires School Dean/Department Chair approval.
• Foreign subcontracts are not permitted under this mechanism, and research at foreign sites will require prior approval by NCATS.

**Regulatory Approvals:**

- All Pilot Projects require approval of the NIH/NCATS prior to award of funds from NJ ACTS.
- Prior to NCATS approval, each project must demonstrate it has met regulatory requirements for human and animal research. Each awardee will be required to meet with Casandra Burrows to review the NIH/NCATS compliance requirements.

**Requirements Include:**

- Awardees must obtain all regulatory approvals (e.g. IRB, IACUC, or Radiation Safety) and meet all compliance requirements prior to receiving funds and maintain approvals during the entire length of the award.
- Projects that involve human subjects research or live vertebrate animals require additional approvals by NIH/NCATS before an award can be made. The project MUST have IRB or IACUC approval prior to submitting to NCATS for its approval. Therefore, all applicants are urged to seek IRB or IACUC approval concurrent with the submission of the pilot application.
- When you apply for IRB/IACUC approval, indicate that your research is supported by NJ ACTS: Award number UL1TR003017, Funding source: NIH/NCATS
- You must keep your IRB/IACUC approval(s) current and active for the duration of the award period. Copies of the approval letters need to be sent to the NJ ACTS Pilots Program Administrator at NJACTS@rbhs.rutgers.edu.
- If your project is an NIH-defined clinical trial (see below), you must register your project and report results on clinicaltrials.gov.

<table>
<thead>
<tr>
<th>NIH Clinical Trial Definition</th>
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<tr>
<td>A research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include placebo or other control) to evaluate the effects of those interventions on health-related biomedical or behavioral outcomes</td>
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</tbody>
</table>

**NCATS Prior Approval:**

NCATS, the NIH Center that manages the CTSA program, requires that all pilots receive its approval prior to award activation. If the project involves humans or vertebrate animals, the project MUST have IRB or IACUC approval prior to submitting to NCATS for its approval. Therefore, all applicants are urged to seek IRB or IACUC approval concurrent with the submission of the pilot application.

No federal funds may be spent prior to the completion of NCATS review. The actual start dates will be dependent on the NCATS approval process, which can take 30+ days.
We will communicate with each successful pilot proposal PIs about the NCATS requirements once the selection process is completed.

Attached for your information is a table showing the required NCATS Prior Approval Requirements.

**Budget and Financial Management:**
- Funds must be spent according to the approved budget. Prior approval is required to amend the budget.
- If you wish to amend your budget, send a copy of the original budget and the proposed amended budget with a justification for the budget changes to the NJ ACTS Pilots Program Administrator at NJACTS@rbhs.rutgers.edu.
- If your project runs over budget or you charge something that is not allowable, you/your department/school are responsible for the charges and will be asked to provide an alternate project number for those costs.

**Reporting Requirements:**
- A final report is required for all awards.
- Failure to submit a progress report will result in the grant’s being terminated.
- Co-PI’s with outstanding final reports will not be allowed to compete for any other pilot program.
- Co-PI’s will be expected to complete an annual report that summarizes abstracts, presentations, publications, and proposals/funded awards that resulted from the NJ ACTS pilot grant. This is required for the NJ ACTS grant renewal reporting and evaluation functions.

**Award Recognition:**
- Any publication or patent that results from this funding must reference:
  - “Supported (in part) by the New Jersey Alliance for Clinical and Translational Science”
  - “Research reported in this publication was supported by the National Center for Advancing Translational Sciences (NCATS), a component of the National Institute of Health (NIH) under Award Number UL1TR0030117. The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH.”

**Other Notices:**
- Abstracts and names of Co-PIs will be posted on the NJ ACTS website, and may be posted or submitted to the national CTSA website.
- Awards are not transferable or renewable.

**Proposal Review:**
The review process includes: administrative review for completeness of the application and meeting eligibility requirements; solicitation of external and internal reviews based on reviewers proposed in the Application or suggested by the Co-chairs; and final review by the NJ ACTS Pilot Project Management Committee. This process is overseen by the Co-chairs of the NJ ACTS Pilot Project Management Committee, Arnold Rabson, MD, Rutgers University, Samuel Wang, PhD, Princeton University and Reggie Caudill, PhD, NJIT.

**Questions/Inquiries:**
For Inquiries, please email: NJACTS@rbhs.rutgers.edu